

SUBSTITUTE FORM PTO-1449  
(MODIFIED)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.  
07977/175001

4/26/98 Sheet 1 of 1

SERIAL NO.

09/736724

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)

APPLICANT:  
Shunpei Yamazaki, et al.

FILING DATE  
August 13, 1997

GROUP

(37 CFR 1.98(b))

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	WO 88/03328 A1	05/05/88	PCT			X	
	AM							
	AN							
	AO							
	AP							

OTHER DOCUMENTS (including Author, Title, Date, Place of Publication)

	AQ							
	AR							
	AS							

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977/175001/US3397  Applicant: Shunpei Yamazaki, et al.	Application No. <del>08/912,979</del> 09/736,724 C280
		Filing Date August 13, 1997	Group Art Unit 2815

## U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
PEBA	AA	4,454,524	6/12/84	W. Spence			
PEBA	AB	4,549,336	10/29/85	D.P. Sheppard			
PEBA	AC	4,697,198	9/29/87	Komori, et al.			
PEBA	AD	4,959,697	9/25/90	Shier, et al.			
PEBA	AE	4,999,682	3/12/91	Xu, et al.			
PEBA	AF	5,196,367	3/23/93	Lu, et al.			
PEBA	AG	5,198,879	3/30/93	T. Ohshima			
PEBA	AH	5,210,437	5/11/93	Sawada, et al.			
PEBA		5,324,960	6/28/94	Pfiester, et al.			
PEBA	AI	5,343,051	8/30/94	Yamaguchi, et al.			
PEBA	AJ	5,350,940	9/27/94	M. Rona			
PEBA		5,426,325	6/20/95	Chang, et al.			
PEBA		5,428,234	6/27/95	H. Sumi			
PEBA		5,449,937	9/12/95	Arimura, et al.			
PEBA		5,532,175	7/2/96	Racanelli, et al.			
PEBA		5,606,191	2/25/97	C. Wang			
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PEBA		5,619,053	4/8/97	Iwamatsu, et al.			
PEBA		5,635,749	6/3/97	G. Hong			
PEBA		5,661,059	8/26/97	Liu, et al.			
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PEBA		5,698,884	12/16/97	M.W. Dennen			
PEBA		5,786,618	7/28/98	J. Wen			

Examiner Signature <i>Paul E. Brock</i>	Date Considered 7/26/2001
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<b>Information Disclosure Statement</b> <b>by Applicant</b> (Use several sheets if necessary)		Applicant: Shunpei Yamazaki, et al.	
(37 CFR §1.98(b))		Filing Date August 13, 1997	Group Art Unit 2815

## U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
PEB <sup>n</sup>		5,801,416	9/1/98	Choi, et al.			
PEB <sup>n</sup>		5,831,294	11/3/98	R. Ugajin			
PEB <sup>n</sup>		5,885,872	2/23/99	Tamaki, et al.			
PEB <sup>n</sup>		5,893,740	4/13/99	Chang, et al.			
PEB <sup>n</sup>		5,894,137	4/13/99	Yamazaki, et al.			
PEB <sup>n</sup>	PEB <sup>n</sup>	5,894,151	4/13/99	Yamazaki, et al.			

## Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AP							

## Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

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